

# Dual-Use Goods and Technologies

Dual-use goods and technologies are items that can be used for both civilian and military applications. These items are subject to export control regulations due to their potential use in weapons of mass destruction or other military applications. In this explanation, we will cover the key terms and vocabulary related to dual-use goods and technologies in the context of the Professional Certificate in UK Export Control Regulations.

## 1. Dual-use goods and technologies:

Dual-use goods and technologies are items that can be used for both civilian and military applications. Examples of dual-use goods include chemicals, electronics, materials, and equipment that can be used in the production of weapons of mass destruction, military systems, or other military applications.

Example: A computer numerical control (CNC) machine can be used to manufacture civilian products such as automotive parts or medical devices. However, it can also be used to manufacture military components such as gun barrels or missile parts.

## 2. Export control regulations:

Export control regulations are laws and regulations that govern the export of dual-use goods and technologies. These regulations are designed to prevent the proliferation of weapons of mass destruction, military systems, and other sensitive items.

Example: The Export Control Order 2008 is the primary export control regulation in the UK. It implements the EU Dual-Use Regulation and the Wassenaar Arrangement.

## 3. Control list:

A control list is a list of dual-use goods and technologies that are subject to export control regulations. The control list identifies the items that require a license before they can be exported.

Example: The UK Strategic Export Control List (CoCom list) is the control list used in the UK. It includes items such as chemicals, electronics, materials, and equipment that can be used in the production of weapons of mass destruction or military systems.

## 4. End-user:

An end-user is the person or entity that will ultimately use the exported item. End-users can be individuals, businesses, or government agencies.

Example: A UK company that exports computer numerical control (CNC) machines to a manufacturing company in another country would list the manufacturing company as the end-user.

## 5. End-use:

End-use is the purpose for which the exported item will be used. End-uses can include civilian or military

applications.

Example: A UK company that exports computer numerical control (CNC) machines to a manufacturing company in another country would need to ensure that the machines will be used for civilian purposes only, and not for military applications.

#### 6. License:

A license is a government-issued document that authorizes the export of dual-use goods and technologies. A license is required for the export of items on the control list.

Example: A UK company that exports computer numerical control (CNC) machines to a manufacturing company in another country would need to obtain a license from the UK government before the export can take place.

#### 7. Brokering:

Brokering is the act of arranging or facilitating the export of dual-use goods and technologies. Brokers can be individuals, businesses, or government agencies.

Example: A UK company that arranges for the export of computer numerical control (CNC) machines from a UK manufacturer to a manufacturing company in another country would be acting as a broker.

#### 8. Catch-all control:

Catch-all control is a type of export control that applies to items that are not specifically listed on the control list but have the potential to be used in the production of weapons of mass destruction or military systems.

Example: A UK company that exports a new type of material that is not specifically listed on the control list but has the potential to be used in the production of weapons of mass destruction or military systems would be subject to catch-all control.

#### 9. Embargo:

An embargo is a restriction on trade with a specific country or region. Embargoes can be imposed by individual countries or by international organizations.

Example: The European Union has imposed an embargo on trade with Syria, prohibiting the export of dual-use goods and technologies to that country.

#### 10. Enforcement:

Enforcement refers to the actions taken by governments to ensure compliance with export control regulations. Enforcement can include audits, inspections, and penalties for non-compliance.

Example: The UK government's Export Control Joint Unit (ECJU) is responsible for enforcing export control regulations in the UK. The ECJU conducts audits and inspections to ensure that exporters are complying with the regulations and imposes penalties for non-compliance.

In conclusion, understanding the key terms and vocabulary related to dual-use goods and technologies is

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essential for anyone involved in the export of these items. Export control regulations are designed to prevent the proliferation of weapons of mass destruction, military systems, and other sensitive items. Exporters must be familiar with the control list, licensing requirements, end-use and end-user restrictions, and other regulations to ensure compliance. By understanding these terms and concepts, exporters can avoid legal issues, protect national security, and maintain their reputation in the global marketplace.